

## Royce de Rohan Barondes

James S. Rollins Professor of Law  
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### EDUCATION

- 1982–1985      **University of Virginia, School of Law**, Charlottesville, VA.  
J.D. awarded May 1985.
- 1977–1982      **Massachusetts Institute of Technology**, Cambridge, MA.  
S.M. & S.B., each in Mechanical Engineering, awarded June 1982.
- 1971–1977      **St. Paul’s School**, Concord, NH.  
Graduated *cum laude* June 1977.

### EXPERIENCE

- 2002–Present      **University of Missouri–Columbia School of Law**, Columbia MO.  
James S. Rollins Professor of Law, 2016–present. Associate Professor, 2002–2016. Subjects taught include Business Organizations, Business Torts, Contracts (I & II), Contracts in the Courts, Corporate Finance, Firearms Law, Property (I) and Securities Regulation.
- 2000–2002      **University of Georgia, Terry College of Business**, Athens, GA.  
Assistant Professor. Subjects taught include Legal and Regulatory Environment of Business.
- 1996–2000      **Louisiana State University, E.J. Ourso College of Business Administration**, Baton Rouge, LA.  
Assistant Professor. Subjects taught include Legal Environment of Business (core M.B.A. class); and Business Law (element of College of Business undergraduate degree programs).
- 1992–1996      **Self-Employed**, North Hampton, NH.  
A variety of self-employed activity, including, *inter alia*, providing transactional business law assistance under contract.
- 1985–1992      **Cravath, Swaine & Moore**, New York, NY.  
Corporate associate in civil practice firm, emphasizing transactional work. Practice areas included public/private offerings, mergers/acquisitions, general corporate and real estate.

### LAW JOURNAL PUBLICATIONS

25. Royce de R. Barondes, *Contumacious Responses to Firearms Legislation (LEOSA) Balancing Federalism Concerns*, \_\_ *Houston Law Review* \_\_ (forthcoming).
24. Royce de R. Barondes, *Automatic Authorization of Frisks in Terry Stops for Suspicion of Firearms Possession*, \_\_ *Southern Illinois University Law Journal* \_\_ (forthcoming).
23. Royce de R. Barondes, *Conditioning Exercise of Firearms Rights on Unlimited Terry Stops*, \_\_ *Idaho Law Review* \_\_ (forthcoming).
22. Royce de R. Barondes, *Federalism Implications of Non-Recognition of Licensure Reciprocity under the Gun-Free School Zones Act*, 32 *Journal of Law & Politics* 139–210 (2017).
21. Royce de R. Barondes, *Frictions and the Persistence of Inferior Contract Terms*, 9 *Virginia Law & Business Review* 257–303 (2015).

20. Royce de R. Barondes, *Vestigial Literalism in the Interpretation of Corporate Financing Instruments*, 15 Transactions: The Tennessee Journal of Business Law 239–315 (2014).
19. Royce de R. Barondes, *Side Letters, Incorporation by Reference and Construction of Contractual Relationships Memorialized in Multiple Writings*, 64 Baylor Law Review 651–720 (2012).
18. Royce de R. Barondes, *Services as Capital Contributions: Understanding Kovacik v. Reed*, 3 Entrepreneurial Business Law Journal 1–17 (2008).
17. Royce de R. Barondes, Charles Nyce and Gary C. Sanger, *Underwriters' Counsel as Gatekeeper or Turnstile: An Empirical Analysis of Law Firm Prestige and Performance in IPOs*, 2 Capital Markets Law Journal 164–190 (2007).
16. Royce de R. Barondes, *Fiduciary Duties in Distressed Corporations: Second Generation Issues*, 1 Journal of Business & Technology Law 371–413 (2007).
15. Royce de R. Barondes, *Correcting the Empirical Foundations of IPO-Pricing Regulation*, 33 Florida State University Law Review 437–469 (2005).
14. Royce de R. Barondes, *NASD Regulation of IPO Conflicts of Interest—Does Gatekeeping Work?*, 79 Tulane Law Review 859–901 (2005).
13. Royce de R. Barondes and V. Carlos Slawson, Jr., *Examining Compliance with Fiduciary Duties: A Study of Real Estate Agents*, 84 Oregon Law Review 681–724 (2005).
12. Royce de R. Barondes and Thomas A. Lambert, *Should Antitrust Education Be Mandatory (for Law School Administrators)?*, 38 U.C. Davis Law Review 1299–1316 (2005).
11. Royce de R. Barondes, *An Alternative Paradigm for Valuing Breach of Registration Rights and Loss of Liquidity*, 39 University of Richmond Law Review 627–707 (2005).
10. Royce de R. Barondes, *Rejecting the Marie Antoinette Paradigm of Prejudgment Interest*, 43 Brandeis Law Journal 1–27 (2004).
9. Royce de R. Barondes, *Reorganizations and Stochastic Collateral Value*, 11 Southern California Interdisciplinary Law Journal 193–218 (2002).
8. Royce de R. Barondes, *Professionalism Consequences of Law Firm Investments in Clients: An Empirical Assessment*, 39 American Business Law Journal 379–444 (2002).
7. Royce de R. Barondes, *The Business Lawyer as Terrorist Transaction Cost Engineer*, 69 Fordham Law Review 31–82 (2000).
6. Royce de R. Barondes, *Adequacy of Disclosure of Restrictions on Flipping IPO Securities*, 74 Tulane Law Review 883–950 (2000); abstracted in Bowne & Co., Digest for Corporate & Securities Lawyers 4–5 (Oct. 2000).

5. Royce de R. Barondes, *Fiduciary Duties of Officers and Directors of Distressed Corporations*, 7 *George Mason Law Review* 45–104 (1998); abstracted in *Bowne & Co., Digest for Corporate & Securities Lawyers* 6–7 (Feb. 1999).
4. Royce de R. Barondes, *The Limits of Quantitative Legal Analyses: Chaos in Legal Scholarship and FDIC v. W.R. Grace & Co.*, 48 *Rutgers Law Review* 161–225 (1995).
3. Royce de R. Barondes, *An Economic Analysis of the Potential for Coercion in Consent Solicitations for Bonds*, 63 *Fordham Law Review* 749–91 (1994).
2. Royce de R. Barondes, *Dynamic Economic Analyses of Selected Provisions of Corporate Law: The Absolute Delegation Rule, Disclosure of Intermediate Estimates and IPO Pricing*, 7 *DePaul Business Law Journal* 97–142 (1994).
1. Royce de R. Barondes, *The Bespeaks Caution Doctrine: Revisiting the Application of Federal Securities Law to Opinions and Estimates*, 19 *Journal of Corporation Law* 243–84 (1994); reprinted in 27 *Securities Law Review* 261–302 (1995).

**SELECTED PAPER  
PRESENTATIONS**

Royce de R. Barondes, Automatic Authorization of Frisks in *Terry* Stops for Suspicion of Firearms Possession, Presented at Southern Illinois University Law School, April 2018.

Royce de R. Barondes, Conditioning Exercise of Firearms Rights on Unlimited *Terry* Stops, Presented at University of Idaho, College of Law, April 2018.

Royce de R. Barondes, Contumacious State Responses to Firearms Legislation Balancing Federalism Concerns, Presented at Central States Law Schools Association 2017 Scholarship Conference, October 2017.

Royce de R. Barondes, Schooling the ATF on Federalism Implications in Interpreting the Gun-Free School Zones Act, University of Kansas School of Law, November 2016.

Royce de R. Barondes, Frictions and the Persistence of Inferior Contract Terms, Presented at 10th International Conference on Contracts, University of Nevada, Las Vegas, School of Law, February 2015.

Royce de R. Barondes, Frictions and the Persistence of Inferior Contract Terms, Presented at Indiana University McKinney School of Law (Indianapolis), Midwest Law & Economics Association, October 2014.

Royce de R. Barondes, Federal District Judge Gender and Reversals, Presented at Yale Law School, Conference on Empirical Legal Studies, November 2010.

Royce de R. Barondes, Federal District Judge Gender and Reversals, Presented at University of Colorado, School of Law, Midwest Law & Economics Association, October 2010.

Royce de R. Barondes, ABA Ratings of Federal District Court Judges and Likelihood of a Shepard's Warning Signal, Presented at Notre Dame Law School, Midwest Law & Economics Association, October 2009.

Royce de Rohan Barondes, Want Your Opinions Reversed? Hire a Yale Clerk (and Don't Require the Bar), Presented at Northwestern University Law School, Midwest Law & Economics Association Annual Meeting, October 2008.

Royce de Rohan Barondes, Want Your Opinions Reversed? Hire a Yale Clerk (and Don't Require the Bar), Presented at University of Toronto Law School, Canadian Law & Economics Association Annual Meeting, September 2008.

Royce de Rohan Barondes, Want Your Opinions Reversed? Hire a Yale Clerk (and Don't Require the Bar), Presented at Cornell University Law School, Conference on Empirical Legal Studies, September 2008.

Royce de Rohan Barondes, Want Your Opinions Reversed? Hire a Yale Clerk (and Don't Require the Bar), Presented at Washington University in St. Louis, School of Law, September 2008.

Royce Barondes, The Business Entity as a Nexus of Relational Contracts Southeastern Association of Law Schools Annual Meeting, July 2006.

Examining Compliance with Fiduciary Duties: A Study of Real Estate Agents (co-authored with V. Carlos Slawson), Presented at Association of American Law Schools Annual Meeting, January 2006.

Fiduciary Duties in Distressed Corporations: Second Generation Issues, Presented at University of Maryland School of Law, November 2005.

The Business Entity as a Nexus of Relational Contracts, Presented at Midwest Law and Economics Association Annual Meeting, October 2005.

Correcting the Empirical Foundations of IPO-Pricing Regulation, Presented at University of Missouri-Columbia School of Law, April 2005.

The Coercion Theory of Pricing Initial Public Offerings, Presented at Contracting and Organizations Research Institute, University of Missouri-Columbia, March 2005.

Should Antitrust Education Be Mandatory (for Law School Administrators)? (co-authored with Thomas A. Lambert), Presented at University of Missouri-Columbia School of Law, November 2004 [co-presented with Thomas A. Lambert].

Valuing 1933 Act Registration Rights, Presented at Contracting and Organizations Research Institute, University of Missouri-Columbia, February 2004.

Valuing 1933 Act Registration Rights, Presented at Midwest Law and Economics Association Annual Meeting, October 2003.

Law Firm Prestige and Performance in IPOs (co-authored with Charles Nyce and Gary C. Sanger), Presented at University of Missouri-Columbia School of Law, April 2003.

Law Firm Prestige and Performance in IPOs (co-authored with Charles Nyce

and Gary C. Sanger), Presented at Midwest Law and Economics Association Annual Meeting, October 2002.

Conflicts of Interest in IPO Pricing (co-authored with Cynthia G. McDonald), Presented at Sixth Annual Huber Hurst Research Seminar, Hosted by the University of Florida and California State University, Long Beach, February 2002.

Reorganizations and Stochastic Collateral Value, Presented at Southeastern Academy of Legal Studies in Business Annual Meeting, October 2001.

Professionalism Consequences of Law Firm Investments in Clients: An Empirical Assessment, Presented at Academy of Legal Studies in Business Annual Meeting, August 2001.

Lawyer Experience and IPO Pricing (co-authored with Gary C. Sanger), Presented at Southern Finance Association, November 2000 [presented by co-author].

Lawyer Experience and IPO Pricing (co-authored with Gary C. Sanger), Presented at Canadian Law and Economics Association Annual Meeting, August 2000.

Lawyer Experience and IPO Pricing (co-authored with Gary C. Sanger), Presented at Academy of Legal Studies in Business Annual Meeting, August 2000.

The Business Lawyer as Terrorist Transaction Cost Engineer, Presented at Canadian Law and Economics Association Annual Meeting, September 1999.

Adequacy of Disclosure of Restrictions on Flipping IPO Securities, Presented at Academy of Legal Studies in Business Annual Meeting, August 1999.

Unreasonable Lawyers and Hidden Contract Rights, Presented at Academy of Legal Studies in Business Annual Meeting, August 1998.

Intra-Firm Monitoring and Inter-Firm Monitoring of Deviations from Fiduciary Duties of Loyalty of Residential Real Estate Agents (co-authored with V. Carlos Slawson, Jr.), Presented at Academy of Legal Studies in Business Annual Meeting, August 1997.

## **HONORS AND AWARDS**

Board of Advocates Faculty Achievement Award, University of Missouri–Columbia School of Law, 2007.

Holmes/Cardozo Award for Outstanding Conference Paper, Academy of Legal Studies in Business Annual Meeting, August 2001.

Excellence in Teaching Award, Department of Finance, L.S.U., 1996–1997.

## **OTHER PROFESSIONAL ACTIVITIES**

Reviewer, International Review of Law and Economics, 2011, 2012.

Staff Editor, American Business Law Journal, 1999–2002.

Referee, American Business Law Journal, 1998.

**BAR ADMISSIONS**

Massachusetts (inactive), New Hampshire, New York.

Dated June 2018.